

Name: _____ Firm: _____

Address: _____

Phone: _____ Email: _____

Does the **Applicant** use firewalls at the perimeter of the **Applicant's** network? YES NO

Does the **Applicant** utilize antivirus/anti-malware software on all computers? YES NO

Does the **Applicant** deploy critical (software/firmware) updates, patches/hot-fixes or Service Packs on a regular basis? YES NO

Does the **Applicant** encrypt data in transit, at rest or stored on laptops or other portable media? YES NO

Has the **Applicant** implemented a network based Intrusion Detection System? YES NO

Does the **Applicant** perform vulnerability scanning/penetration testing on a regular basis? YES NO

Does the **Applicant** provide security awareness training for employees? YES NO

Does the **Applicant** have a formal business continuity/disaster recovery plan and/or backs up critical data on a regular basis? YES NO